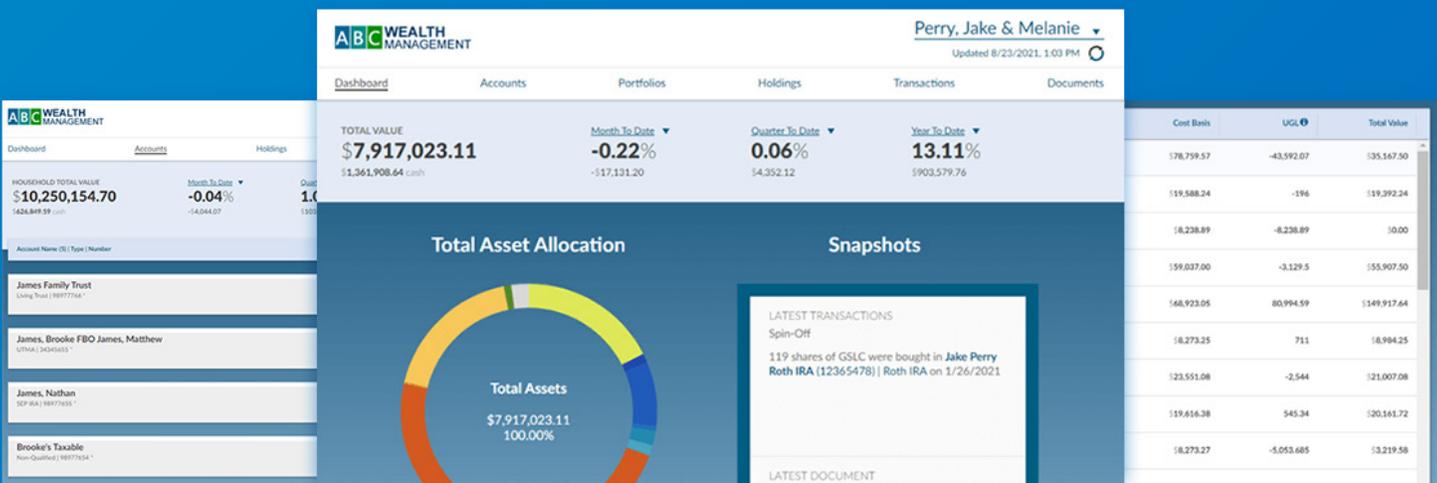


# Client Portal User Guide



**ABC WEALTH MANAGEMENT** | Perry, Jake & Melanie | Updated 8/23/2021, 1:03 PM

Dashboard | Accounts | Portfolios | Holdings | Transactions | Documents

**TOTAL VALUE**  
\$7,917,023.11

Month To Date: -0.22%  
Quarter To Date: 0.06%  
Year To Date: 13.11%

**Total Asset Allocation**

Total Assets: \$7,917,023.11 (100.00%)

**Snapshots**

**LATEST TRANSACTIONS**  
Spin-Off  
119 shares of GSLC were bought in Jake Perry Roth IRA (12365478) | Roth IRA on 1/26/2021

**LATEST DOCUMENT**

Cost Basis	UGL	Total Value
178,759.57	-43,592.07	135,167.50
119,588.24	-194	119,392.24
18,238.89	-8,238.89	10.00
159,037.00	-3,129.5	155,907.50
168,923.05	80,994.59	149,917.64
18,273.25	711	18,984.25
123,551.08	-2,544	121,007.08
119,616.38	545.34	120,161.72
18,273.27	-5,053.685	13,219.58

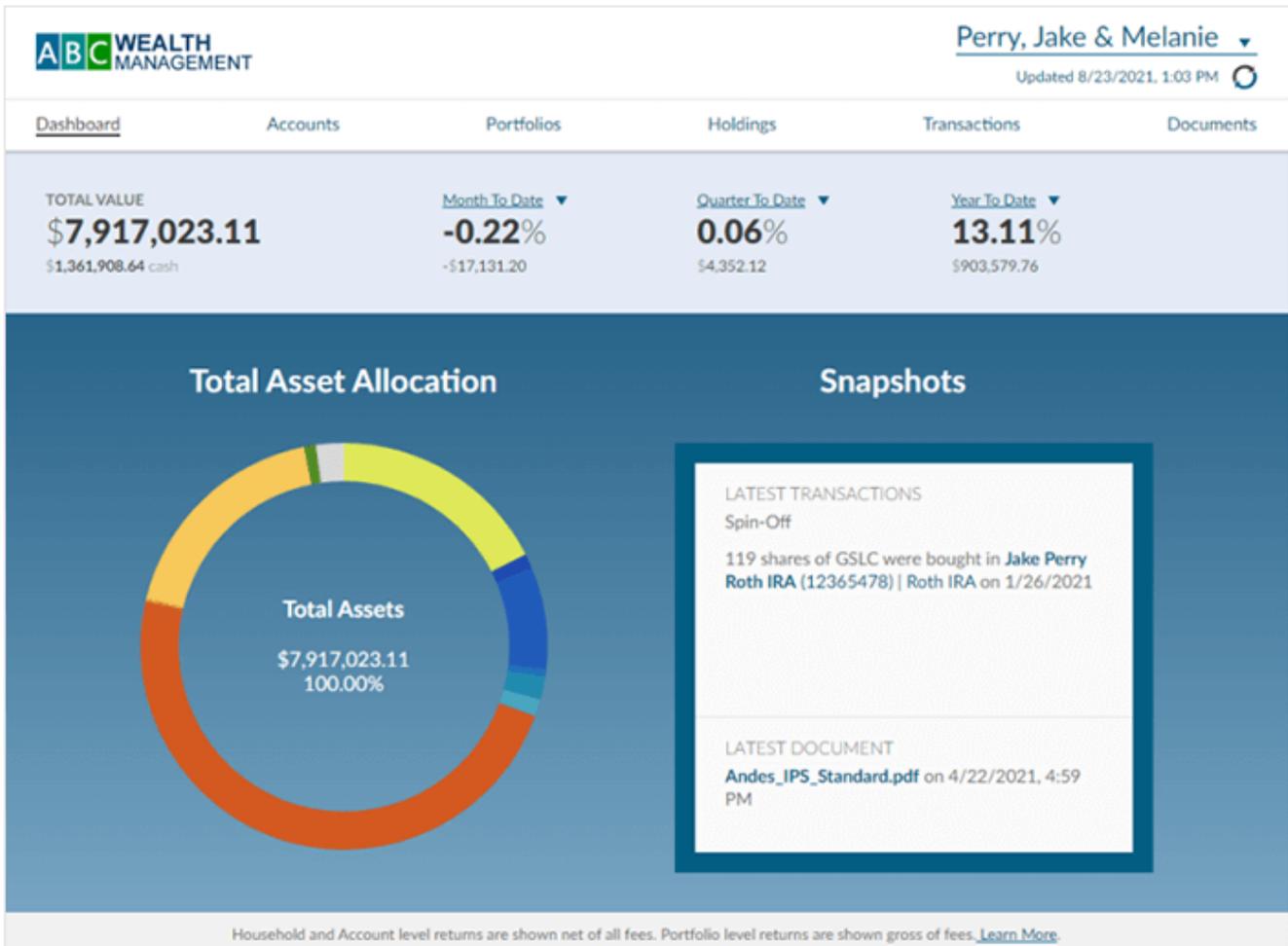
## Client Portal Overview

The Freedom Investment Management platform allows you to view your consolidated household, individual account details and performance information, aggregate accounts held outside Freedom to provide a holistic financial view of all of your assets and liabilities, share documents with your advisor, and more. The Client Portal is organized into the following main sections, each of which will be covered in a dedicated chapter of this guide, as well as a section dedicated to [Additional Tools](#) that are available to you within the portal.

- [Dashboard](#)
- [Accounts](#)
- [Portfolios](#)
- [Holdings](#)
- [Transactions](#)
- [Documents](#)

## Introduction to Your Dashboard

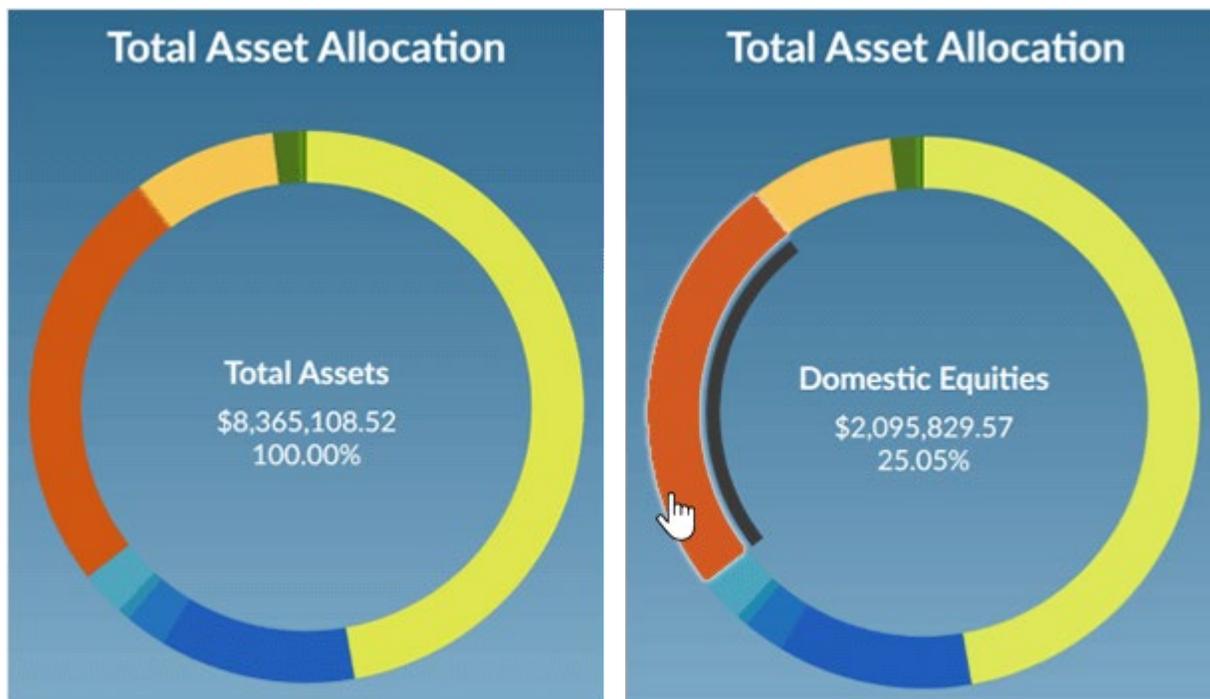
The **Dashboard** section is the default landing page when logging into the Client Portal, providing instant access to key information, organized into 3 main sections.



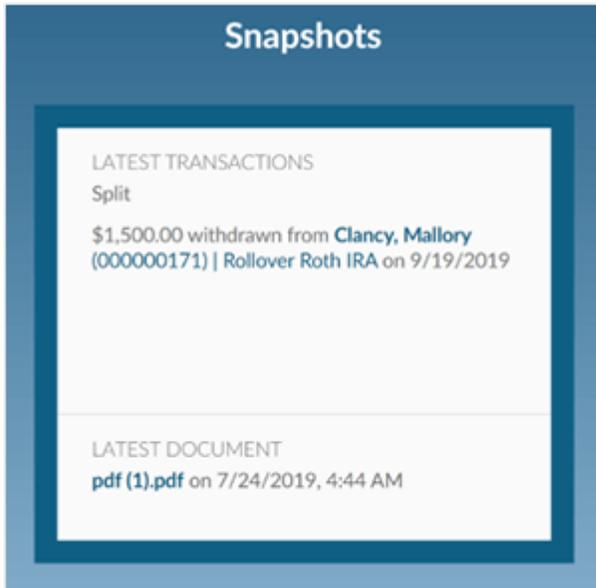
**Value/Performance Summary:** The ribbon on the top of home page details out the total market value for all of your accounts as well as the total cash value. The section then provides a series of 3 performance figures: Month to Date, Quarter to Date, and Year to Date returns (expressed in both percentage and dollar amount). Clicking on the arrow next to any of these performance figures will allow you to select a different time period, the options of which are as follows: Last Year, Year to Date, Month to Date, Quarter to Date, Last Quarter, Last Month, Annualized and Since Inception.



**Total Asset Allocation:** This chart provides a breakdown of the asset classes represented at the household level, adding together all your accounts, allowing you to quickly see how your money is distributed across asset classes. This chart is interactive, allowing you to hover over any asset class to view the dollar amount and percentage that is allocated to that particular asset class.



**Snapshots:** This section provides the most recent transaction activity and documents that have been added to your Client Portal. Clicking on the hyperlinks within this section will direct you to the applicable information.



## Managing Your Accounts

The **Accounts** section provides detailed information regarding each of your accounts. Beneath the top-level banner, this section lists out each of your accounts individually along with the owner, account name, account type, account number, performance returns, and total market value.

<a href="#">Dashboard</a>   <b><a href="#">Accounts</a></b>   <a href="#">Portfolios</a>   <a href="#">Holdings</a>   <a href="#">Transactions</a>   <a href="#">Documents</a>			
<b>HOUSEHOLD TOTAL VALUE</b> <b>\$8,084,675.97</b> <small>\$996,267.69 cash</small>	<small>Month To Date</small> ▾ <b>1.28%</b> <small>\$102,150.53</small>	<small>Quarter To Date</small> ▾ <b>1.28%</b> <small>\$102,150.53</small>	<small>Year To Date</small> ▾ <b>1.28%</b> <small>\$102,150.53</small>
Account Name (8)   Type   Number	Net	MTD	Total Value
<b>Perry, Jake</b> <small>Contributory IRA   32655623 *</small>	0.68%	Return	\$270,536.56 <small>Total Value</small>
<b>Mel's 401K</b> <small>401K   22022020 *</small>	0.96%	Return	\$1,404,375.71 <small>Total Value</small>
<b>Jake Perry Roth IRA</b> <small>Roth IRA   12365478 *</small>	0.63%	Return	\$584,449.83 <small>Total Value</small>
<b>Perry, Jake</b> <small>Non-Qualified   12365479 *</small>	1.76%	Return	\$3,873,061.58 <small>Total Value</small>
<b>Perry, Melanie</b> <small>Rollover IRA   78945623 *</small>	0.95%	Return	\$812,248.59 <small>Total Value</small>
<b>Perry, Melaine FBO Perry, Kimberly</b> <small>UTMA   21212020 *</small>	-0.85%	Return	\$78,950.77 <small>Total Value</small>
<b>Perry, Melaine FBO Perry, Luke</b> <small>UTMA   34341111 *</small>	1.40%	Return	\$356,777.08 <small>Total Value</small>
<b>Perry Family Trust</b> <small>Trust   10022334 *</small>	0.66%	Return	\$704,275.85 <small>Total Value</small>
<small>* to indicate that an account is an indirect account (e.g. manually entered or aggregated from an outside source, other than our primary custodians).</small>			

MTD (Month to Date) returns will be displayed by default, but you can update this time frame by selecting the dropdown next to the “MTD” link. Additional Options are as follows: YTD (Year to Date), QTD (Quarter to Date), SI (Since Inception), AN (Annualized), LY (Last Year), LQ (Last Quarter), and LM (Last Month).

ABC WEALTH  
MANAGEMENT

Impersonated by: Tim Sumpter  
**Perry, Jake & Melanie** ▾  
Updated 01/16/2025, 1:19 PM

Dashboard | Accounts | Portfolios | Holdings | Transactions | Documents

**HOUSEHOLD TOTAL VALUE** Month To Date ▾ Quarter To Date ▾ Year To Date ▾

**\$8,084,675.97** **1.28%** **1.28%** **1.28%**

\$996,267.69 cash \$102,150.53 \$102,150.53 \$102,150.53

Account Name (8)   Type   Number	Net	MTD ▾	Total Value
<b>Perry, Jake</b> <small>Contributory IRA   32655623 *</small>		MTD QTD YTD LM LQ LY SI AN	\$270,536.56 <small>Total Value</small>
<b>Mel's 401K</b> <small>401K   22022020 *</small>			\$1,404,375.71 <small>Total Value</small>
<b>Jake Perry Roth IRA</b> <small>Roth IRA   12365478 *</small>	0.63%		\$584,449.83 <small>Total Value</small>
<b>Perry, Jake</b> <small>Non-Qualified   12365479 *</small>	1.76%		\$3,873,061.58 <small>Total Value</small>
<b>Perry, Melanie</b> <small>Rollover IRA   78945623 *</small>	0.95%		\$812,248.59 <small>Total Value</small>
<b>Perry, Melaine FBO Perry, Kimberly</b> <small>UTMA   21212020 *</small>	-0.85%		\$78,950.77 <small>Total Value</small>
<b>Perry, Melaine FBO Perry, Luke</b> <small>UTMA   34341111 *</small>	1.40%		\$356,777.08 <small>Total Value</small>
<b>Perry Family Trust</b> <small>Trust   10022334 *</small>	0.66%		\$704,275.85 <small>Total Value</small>

\* to indicate that an account is an indirect account (e.g. manually entered or aggregated from an outside source, other than our primary custodians).

To view more details regarding a specific account, simply click the account notecard.

**James, Nathan & Brooke** ▾

Updated 8/23/2021, 1:19 PM

Dashboard
Accounts
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Documents

**HOUSEHOLD TOTAL VALUE**

**\$10,250,154.70**

\$626,849.59 Cash

Month To Date ▾

**-0.04%**

-\$4,044.07

Quarter To Date ▾

**1.02%**

\$103,176.98

Year To Date ▾

**10.74%**

\$695,534.71

Account Name (S)   Type   Number	Net Return	MTD ▾	Total Value
<b>James Family Trust</b> <small>Living Trust   98977766 *</small>	0.10%	Return	\$6,902,161.94 <small>Total Value</small>
<b>James, Brooke FBO James, Matthew</b> <small>UTMA   34345655 *</small>	0.49%	Return	\$125,701.93 <small>Total Value</small>
<b>James, Nathan</b> <small>SEP IRA   98977655 *</small>	-0.03%	Return	\$1,509,265.64 <small>Total Value</small>
<b>Brooke's Taxable</b> <small>Non-Qualified   98977654 *</small>	-0.38%	Return	\$1,143,863.29 <small>Total Value</small>
<b>James, Nathan &amp; Brooke</b> <small>Joint Account (with right of survivorship)   34345665 *</small>	-1.12%	Return	\$569,161.90 <small>Total Value</small>

\* to indicate that an account is an indirect account (e.g. manually entered or aggregated from an outside source, other than our primary custodians).

Household and Account level returns are shown net of all fees. Portfolio level returns are shown gross of fees. [Learn More.](#)

Within this detailed account view, the top-level values/performance figures will now be representative of only the selected account. There will be 4 additional tabs present, all of which will be specific to the account in question:

ABC WEALTH MANAGEMENT Smith, Brad & Jones-Smith, Elizabeth  
 Updated 8/23/2021, 2:02 PM

Dashboard Accounts Portfolios Holdings Transactions Documents

<< Back to All Accounts  
**10100501 (Jones-Smith, Elizabeth)**  
 Type: Contributory IRA

ACCOUNT VALUE Month To Date Quarter To Date Year To Date  
**\$6,529,714.58** **0.28%** **1.20%** **5.22%**  
\$4,376,612.76 cash \$18,417.69 \$77,548.01 \$324,173.17

Overview Holdings Transactions Associated Portfolios

**Total Value**  
 \$6,529,714.58  
 100.00%

NAME	WEIGHT	MARKET VALUE
Cash	67.03%	\$4,376,612.76
Cash	67.03%	\$4,376,612.76
High Yield	0.14%	\$9,005.92
MBS	0.09%	\$5,982.60
Domestic Fixed Income	5.73%	\$374,318.05

**Overview Tab:** This tab will display all asset classes that are represented in the selected account. The chart is interactive, allowing you to hover over any asset class to see the dollar amount and percentage that is allocated to that particular asset class. Additionally, this chart includes a corresponding grid that will display the weight and market value of all asset classes as well as sub-asset classes.

**Holdings Tab:** This tab will display pertinent information regarding all holdings within the selected account. Initiate filters in the grid by clicking on the filter icon in the top right corner of the grid. A filter icon will appear in each column, which clicking on the icon will allow users to filter.

Overview **Holdings** Transactions Associated Portfolios

Click this icon to filter columns below. 

HOLDINGS (10)   POSITIONS	SYMBOL	QUANTITY	PRICE	TOTAL VALUE
CASH USD		7,005.74	\$1.00	\$7,005.74
ALPS ETF Trust Alerian MLP ETF		233	\$47.72	\$11,118.76
BlackRock Institutional Trust Company N.A. iShares Core U.S. R		103	\$55.63	\$6,008.04
BlackRock Institutional Trust Company N.A. iShares J.P. Morgan EM Hi	EMHY	70	\$37.30	\$2,611.00
SSgA Active Trust SPDR S&P International Dividend ETF	DWX	54	\$35.71	\$19,497.66
VanEck ETF Trust VanEck Rare Earth/Strategic Metals ETF	REMX	7	\$41.11	\$3,165.47
BlackRock Institutional Trust Company N.A. iShares Emerging Markets	DVYE	22	\$26.55	\$6,026.85
Amplify ETF Trust Amplify High Income ETF	YYY	19	\$11.91	\$2,322.45
Invesco Capital Management LLC Invesco CEF Income Composite ETF	PCEF	28	\$18.76	\$5,327.84
SPDR Series Trust SPDR Portfolio S&P 500 High Dividend ETF	SPYD	1,20	\$42.39	\$51,079.95

Click this icon to filter the column. 

**Transactions Tab:** This tab will display all transactions that have occurred within the selected account over a period of time. Initiate filters in the grid by clicking on the filter icon in the top right corner of the grid. A filter icon will appear in each column, which clicking on the icon will allow users to filter.

ACCOUNT VALUE

**\$114,163.76**

\$7,005.74 cash

Month To Date ▼

**2.88%**

\$3,194.04

Quarter To Date ▼

**2.88%**

\$3,194.04

Year To Date ▼

**3.72%**

\$4,089.67

Overview   Holdings   **Transactions**   Associated Portfolios

Click this icon to filter columns below.

Transactions (18) Latest transactions for

Click this icon to filter the column.

DATE	ACCT NUMBER	ACCOUNT TYPE	ACTIVITY	SYMBOL	SECURITY DESCRIPTION	QUANTITY	MARKET VALUE
01/25/2021	25132513	Non-Qualified	Journal	<u>\$CASH</u>	CASH USD	0	\$0.00
01/25/2021	25132513	Non-Qualified	Journal	<u>\$CASH</u>	CASH USD	0	\$0.00
01/25/2021	25132513	Non-Qualified	Buy	<u>DWX</u>	SSgA Active Trust SPDR S&P Int	546	\$20,223.84
01/25/2021	25132513	Non-Qualified	Buy	<u>YYY</u>	Amplify ETF Trust Amplify High	195	\$3,185.33
01/25/2021	25132513	Non-Qualified	Buy	<u>DVYE</u>	BlackRock Institutional Trust Co	227	\$8,512.50
01/25/2021	25132513	Non-Qualified	Buy	<u>FMHY</u>	BlackRock Institutional Trust Co	70	\$3,203.90
01/25/2021	25132513	Non-Qualified	Sell	<u>VOX</u>	Vanguard Group, Inc. Vanguard I	250	\$30,921.25
01/25/2021	25132513	Non-Qualified	Buy	<u>AMPLP</u>	ALPS ETF Trust Alerian MLP ETI	233	\$6,385.37
01/25/2021	25132513	Non-Qualified	Sell	<u>VGLT</u>	Vanguard Group, Inc. Vanguard I	430	\$40,140.50
01/25/2021	25132513	Non-Qualified	Buy	<u>SPYD</u>	SPDR Series Trust SPDR Portfol	1,205	\$41,506.23
01/25/2021	25132513	Non-Qualified	Buy	<u>PCEF</u>	Invesco Capital Management LL	284	\$6,368.70
01/25/2021	25132513	Non-Qualified	Buy	<u>USRT</u>	BlackRock Institutional Trust Co	108	\$5,320.62
01/25/2021	25132513	Non-Qualified	Buy	<u>REMX</u>	VanEck ETF Trust VanEck Rare E	77	\$6,354.43
01/25/2021	25132513	Non-Qualified	Sell	<u>GLTR</u>	abrdn ETF abrdn Physical Precic	184	\$17,654.80

**Associated Portfolios:** This tab will display all Portfolios that are associated to the selected account. Selecting the **Portfolio** notecard will lead to a more detailed Portfolio view which will be covered in the **Portfolios** section of this guide.

<u>Overview</u>	Holdings	Transactions	<u>Associated Portfolios</u>	
Portfolio Name (2)   Type   Account Number / Name		Gross Return	MTD ▼	Total Value
<b>Discretionary</b> 10100501 (Jones-Smith, Elizabeth)   Contributory IRA		0.86% Return		\$2,153,101.82 Total Value
<b>Unaffiliated Cash</b> 10100501 (Jones-Smith, Elizabeth)   Contributory IRA		N/A Return		\$4,376,612.76 Total Value

## Navigating Your Portfolios

The **Portfolios** section provides detailed information regarding each of your portfolios. Beneath the top-level banner, this section lists out each of your portfolios individually along with the portfolio name, portfolio type, associated account number(s), performance returns, and total market value.

Portfolio Name (11)   Account Number / Name   Type	Asset Allocation	Last Rebalance	Gross Return	MIQ ▼	Total Value
<b>Discretionary</b> 10100501 (Jones-Smith, Elizabeth)   Contributory IRA		N/A Last Rebalance	\$23,136.67   1.11% Return		\$2,098,690.48 Total Value
<b>Custom Birdrock-Dearborn-EM Debt ETF UMA</b> <span>Service</span> 10100505 (Li's Taxable)   Individual Taxable		N/A Last Rebalance	\$90.63   0.16% Return		\$55,749.74 Total Value
<b>Riverfront ETF Advantage Dynamic Equity Income</b> 23230404 (Smith, Brad)   Rollover IRA		N/A Last Rebalance	\$698.37   0.63% Return		\$112,077.64 Total Value
<b>Advisor Partners US Equity Large Cap Core - Fractional Share</b> 34345646 (Smith, Brad)   Individual Taxable		N/A Last Rebalance	\$65,988.95   1.25% Return		\$5,352,921.02 Total Value
<b>Unaffiliated Cash</b> 10100501 (Jones-Smith, Elizabeth)   Contributory IRA		N/A Last Rebalance	N/A   N/A Return		\$4,376,612.76 Total Value
<b>EQIS Dynamic Sector Aggressive - Fractional Share</b> 25235525 (Smith, Brad)   Contributory IRA		N/A Last Rebalance	\$861.73   0.56% Return		\$155,561.06 Total Value
<b>State Street Active Asset Allocation ETF Portfolios (Max Growth)</b> <span>Service</span> 10100500 (Smith Family Trust)   Trust		N/A Last Rebalance	\$35,523.89   1.04% Return		\$3,438,108.50 Total Value
<b>Unaffiliated Cash</b> 10100500 (Smith Family Trust)   Trust		N/A Last Rebalance	N/A   N/A Return		\$500,000.00 Total Value
<b>Wilshire Global ETF Allocation Moderate - Fractional Share</b> <span>Service</span> 10100505 (Li's Taxable)   Individual Taxable		N/A Last Rebalance	\$523.05   0.43% Return		\$120,823.77 Total Value
<b>Discretionary</b> <span>Service</span> 10100500 (Smith Family Trust)   Trust		N/A Last Rebalance	\$2,823.61   0.82% Return		\$346,378.14 Total Value

MTD (Month to Date) returns will be displayed by default, but you can update this time frame by selecting the dropdown next to the “MTD” link. Additional Options are as follows: YTD (Year to Date), QTD (Quarter to Date), SI (Since Inception), AN (Annualized), LY (Last Year), LQ (Last Quarter), and LM (Last Month).

<< Back to All Accounts

## 10100505 (Liz's Taxable)

Type: Individual Taxable

ACCOUNT VALUE	<a href="#">Month To Date</a> ▼	<a href="#">Quarter To Date</a> ▼	<a href="#">Year To Date</a> ▼
<b>\$176,772.60</b>	<b>-0.27%</b>	<b>0.46%</b>	<b>10.48%</b>
\$3,057.39 cash	-\$474.31	\$812.77	\$16,762.64

Overview   Holdings   Transactions   **Associated Portfolios**

Portfolio Name (2)   Type   Account Number / Name	Gross Return	MTD	Total Value
<b>Custom Birdrock-Dearborn-EM Debt ETF UMA</b> 10100505 (Liz's Taxable)   Individual Taxable		<ul style="list-style-type: none"> <li>MTD</li> <li>QTD</li> <li>YTD</li> <li>LM</li> <li>LQ</li> <li>LY</li> <li>SI</li> <li>AN</li> </ul>	\$55,707.73 Total Value
<b>Wilshire Global ETF Allocation Moderate - Fractional Share</b> 10100505 (Liz's Taxable)   Individual Taxable			\$121,064.87 Total Value

Clicking on any **Portfolio** notecard will lead to a more detailed view of that specific portfolio. Within this detailed portfolio view, the top-level values/performance figures will now be representative of only the selected portfolio. There will be 4 additional tabs present, all of which will be specific to the portfolio in question:

<< Back to All Portfolios

## Custom Birdrock-Dearborn-EM Debt ETF UMA

Type: SAA | 10100505

PORTFOLIO VALUE **\$55,707.73**

Month To Date **-0.38%**  
-\$211.31

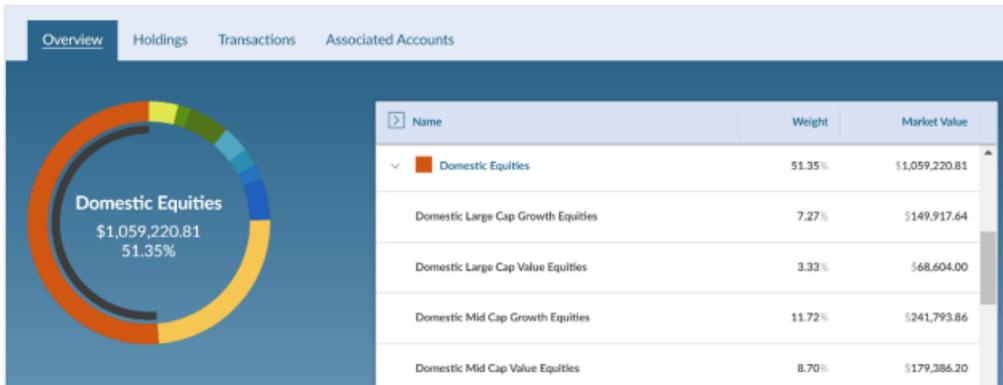
Quarter To Date **0.09%**  
\$48.62

Year To Date **5.32%**  
\$2,812.81

**Overview** | Holdings | Transactions | Associated Accounts

NAME	WEIGHT	MARKET VALUE
Cash	2.33%	\$1,296.39
International Fixed Income	30.61%	\$17,054.64
Emerging Markets Fixed Income	30.61%	\$17,054.64
Domestic Fixed Income	0.99%	\$548.82
Domestic Equities	66.07%	\$36,807.88

**Overview Tab:** This tab will display all asset classes that are represented in the selected portfolio. The chart is interactive, allowing you to hover over any asset class to see the dollar amount and percentage that is allocated to that particular asset class. Additionally, this chart includes a corresponding grid that will display the weight and market value of all asset classes as well as sub-asset classes.



**Holdings Tab:** This tab will display pertinent information regarding all holdings within the selected portfolio.

The Holdings Tab displays a table of individual stock holdings. The table includes columns for Holdings (32 | P...), Symbol, Quantity, Price, Cost Basis, UCL, and Total Value.

Holdings (32   P...	Symbol	Quantity	Price	Cost Basis	UCL	Total Value
> INVSC DB CO...	DBC	3,126	\$11.25	\$78,759.57	-43,592.07	\$35,167.50
> ISHARES INTE...	IGOV	392	\$49.47	\$19,588.24	-196	\$19,392.24
> ISHARES JPM...	EMB	550	\$101.65	\$59,037.00	-3,129.5	\$55,907.50
> SCHWAR US L...	SCHG	1,601	\$93.64	\$68,923.05	80,994.59	\$149,917.64
> PAREXEL INTE...	699462107	360	\$0.00	\$15,733.80	-15,733.8	\$0.00
> MARATHON P...	MPC	636	\$33.03	\$23,551.08	-2,544	\$21,007.08
> VANGUARD S...	BSV	244	\$82.63	\$19,616.38	545.34	\$20,161.72
> VANGUARD R...	VNQ	1,490	\$74.99	\$98,421.95	13,313.15	\$111,735.10
> SCHWAR INTE...	SCHF	9,518	\$27.57	\$295,248.36	-32,837.1	\$262,411.26
> VANGUARD M...	VOT	1,594	\$151.69	\$137,729.57	104,064.29	\$241,793.86
> VANGUARD L...	BLV	482	\$107.01	\$99,343.25	12,235.57	\$111,578.82

**Transactions Tab:** This tab will display all transactions that have occurred within the selected portfolio over a period of time.

Date	Account Name/Number	Account Type	Activity	Symbol	Security Description	Quantity	Market Value
04/23/20	Clancy, Mallory (00000...	Rollover Roth IRA	Split	NBR	NABORS INDUSTRIES ...	-751	\$0.00

**Associated Accounts:** This tab will display all accounts that are associated to the selected portfolio. Selecting the account notecard will lead to a more detailed account view which will be covered in the **Accounts** section of this guide.

<< Back to All Portfolios

## Custom Birdrock-Dearborn-EM Debt ETF UMA

Type: SAA | 10100505

PORTFOLIO VALUE: **\$55,707.73**

Month To Date: **-0.38%** (Change: -\$211.31)  
 Quarter To Date: **0.09%** (Change: \$48.62)  
 Year To Date: **5.32%** (Change: \$2,812.81)

Overview | Holdings | Transactions | **Associated Accounts**

Account Name (1)   Type   Number	Net Return	MTD	Total Value
<b>Liz's Taxable</b> Individual Taxable   10100505 *	-0.27%	Return	\$55,707.73 Value within Account

\* to indicate that an account is an indirect account (e.g. manually entered or aggregated from an outside source, other than our primary custodians).

## Viewing Your Holdings

The **Holdings** section shows a consolidated view of every holding across all of your accounts. Each holding can be expanded by clicking the arrow located to the left of the holding. Expanding the holding reveals the account that each position is located in. If the position is held in multiple accounts, each account will be shown when the holding is expanded. There are filtering capabilities that can be initiated by clicking on the filter icon in the top right corner of the grid. Once this is triggered, users have the ability filter through each column through the filter icon.

**Step 1:**  
Initiate filtering through this icon.

**Step 2:**  
Click this icon to filter through the column.

HOLDINGS (246)   POSITIONS	SYMBOL	QUANTITY	PRICE	TOTAL VALUE
> International Business Machines Corp. Ordinary Shares	IBM		\$191.90	\$13,630.58

Information in the holdings tab includes Holding/Position, Symbol, Quantity, Price, Cost Basis, UGL (Unrealized Gain/Loss), and Total Value. The data can be sorted by any column on the page by clicking on the column header.

## Accessing Transactions

The **Transactions** tab lists all transactions (deposits, withdrawals, buys, sells, splits, etc.) that have taken place across all of your accounts. Initiate filters in the grid by clicking on the filter icon in the top right corner of the grid. A filter icon will appear in each column, which clicking on the icon will allow users to filter.

ABC WEALTH MANAGEMENT | Wyatt, Benjamin & Knope, Leslie | Updated 07/26/2024, 2:37 PM

Dashboard Clients Accounts Portfolios Holdings **Transactions** Documents

Transactions (28) | Latest transactions for L365

DATE	ACCT NUMBER	ACCOUNT TYPE	ACTIVITY	SYMBOL	SECURITY DESCRIPTION	QUA...	MARKET VALUE
07/15/2024	44994499	401K	Split		inary Shares	144	\$0.00
06/12/2024	44994499	401K	Split		Ordinary Share	116	\$0.00
06/10/2024	34341113	Trust	Split		inary Shares	540	\$0.00
06/10/2024	34341113	Trust	Split	NVDA	NVIDIA Corp Ordinary Shares	540	\$0.00
06/10/2024	34341113	Trust	Split	NVDA	NVIDIA Corp Ordinary Shares	540	\$0.00
06/10/2024	34341112	Contributory IRA	Split	NVDA	NVIDIA Corp Ordinary Shares	648	\$0.00
06/10/2024	34341112	Contributory IRA	Split	NVDA	NVIDIA Corp Ordinary Shares	648	\$0.00
06/10/2024	44994499	401K	Split	NVDA	NVIDIA Corp Ordinary Shares	1,116	\$0.00
06/10/2024	44994499	401K	Split	NVDA	NVIDIA Corp Ordinary Shares	918	\$0.00
06/10/2024	40408080	Individual Taxable	Split	NVDA	NVIDIA Corp Ordinary Shares	504	\$0.00
06/10/2024	40408080	Individual Taxable	Split	NVDA	NVIDIA Corp Ordinary Shares	504	\$0.00
05/01/2024	40408080	Individual Taxable	Journal	SCASH	CASH USD	0	\$0.00
02/29/2024	34341113	Trust	Buy	HYG	BlackRock Institutional Trust Cr	0	\$0.00
02/29/2024	34341113	Trust	Sell	VSHY	Virtus ETF Trust II Virtus Newfl	0	\$0.00
02/29/2024	34341113	Trust	Buy	AGG	BlackRock Institutional Trust Cr	0	\$0.00
02/29/2024	34341113	Trust	Buy	FLTR	VanEck ETF Trust VanEck IG Flc	0	\$0.00
02/29/2024	34341113	Trust	Sell	TOTL	SSgA Active Trust SPDR Double	0	\$0.00
02/29/2024	34341113	Trust	Buy	BIV	Vanguard Group, Inc. Vanguard	0	\$0.00
02/29/2024	34341113	Trust	Buy	LODH	BlackRock Institutional Trust Cr	0	\$0.00

Information in the **Transactions** tab includes the transaction date, account number, account type, activity, symbol, security description, quantity and market value. The data can be sorted by any column on the page by clicking on the column header.

The tab will display transactions that have occurred over the last 365 days by default, but this time frame can be customized using the “L365” link in the upper right-hand corner of the page. Additional time periods are as follows: All, Today, Yesterday, This Month, Last Month, Last Week, This Quarter, This Week, Last Year, Last Quarter, and This Year.



Smith, Brad & Jones-Smith, Elizabeth ▾

Updated 8/23/2021, 2:14 PM 

Dashboard
Accounts
Portfolios
Holdings
Transactions
Documents

Transactions (720) Latest transactions for

DATE	ACCT NUMBER	ACCOUNT TYPE	ACTIVITY	SYMBOL	SECURITY DESCRIPTION	QUANTITY	MARKET VALUE
07/19/21	23230404	Rollover IRA	Split	IHI	ISHARES US MEDICAL DEVICES ETF	20	
06/03/21	10100505	Individual Taxable	Spin Off	SCASH	CASH	0	
06/03/21	34345646	Individual Taxable	Spin Off	SCASH	CASH	0	
03/05/21	34345646	Individual Taxable	Split	APH	AMPHENOL CL A ORD	271	\$0.00
01/26/21	10100505	Individual Taxable	Journal	SCASH	CASH	0	\$0.00
01/26/21	10100505	Individual Taxable	Journal	SCASH	CASH	0	\$0.00
01/26/21	10100505	Individual Taxable	Buy	NUS	NU SKIN ENTERPRISES CL A ORD	6	\$346.05
01/26/21	10100505	Individual Taxable	Buy	JACK	JACK IN THE BOX ORD	3	\$298.32
01/26/21	10100505	Individual Taxable	Buy	VSTO	VISTA OUTDOOR ORD	12	\$334.62
01/26/21	10100505	Individual Taxable	Sell	LJR	ISHARES CORE S&P SMALL CAP ETF	14	\$1,413.65
01/26/21	10100505	Individual Taxable	Buy	BDX	BECTON DICKINSON ORD	1	\$257.80

All

Today

Yesterday

This Month

Last Month

Last Week

This Quarter

This Week

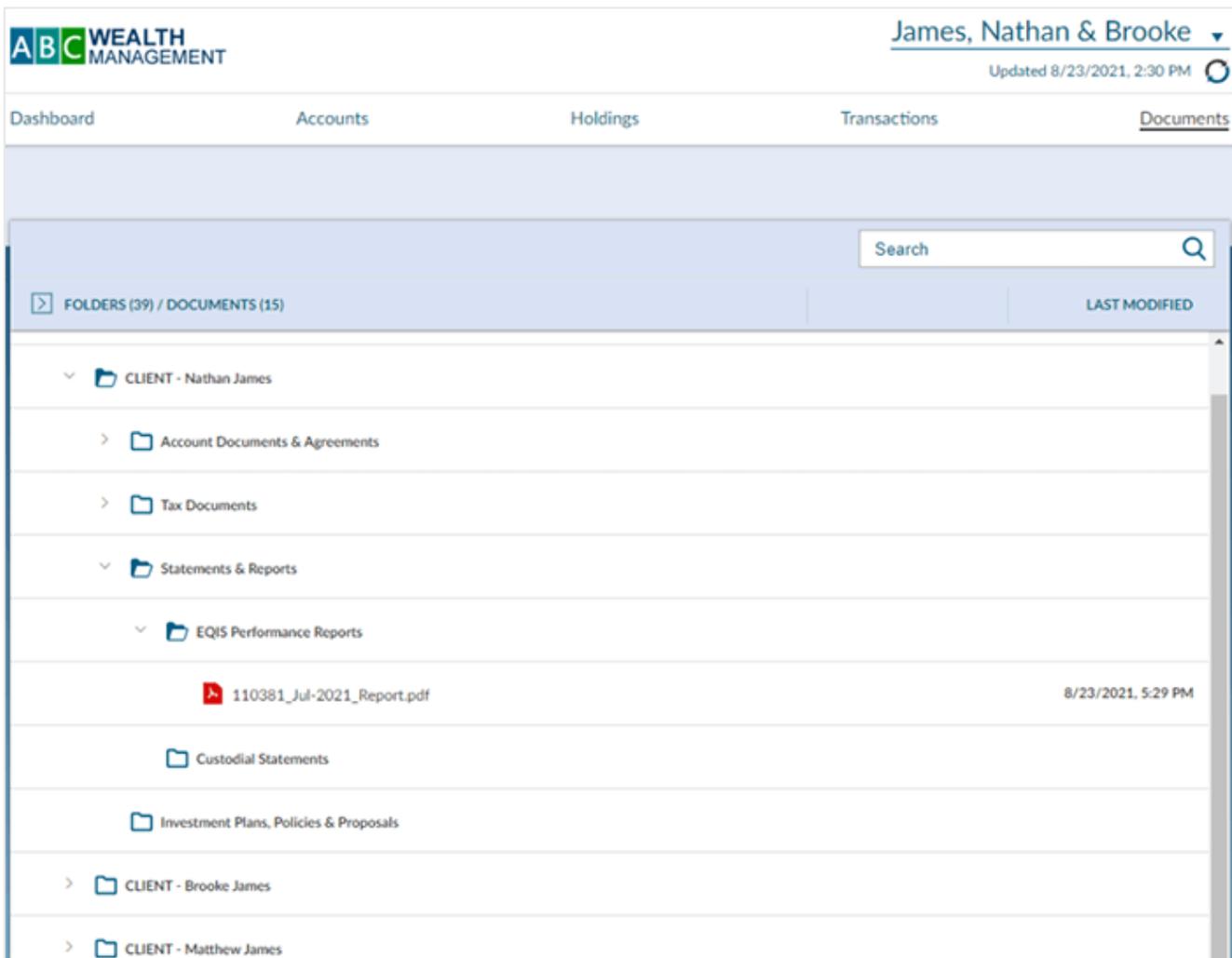
Last Year

Household and Account level returns are shown net of all fees. Portfolio level returns are shown gross of fees. [Learn More](#)

## Managing Your Documents

The **Documents** section is a secure bi-directional documents vault for seamless document sharing, which nurtures a collaborative and efficient working environment between you and your advisor.

You can select the arrow directly to the left of any folder to expand the folder to view its contents.



The screenshot displays the 'Documents' section of the ABC WEALTH MANAGEMENT client portal. At the top, the user is identified as 'James, Nathan & Brooke' with a dropdown arrow, and the page is noted as 'Updated 8/23/2021, 2:30 PM'. The navigation menu includes 'Dashboard', 'Accounts', 'Holdings', 'Transactions', and 'Documents' (which is underlined). A search bar is located in the top right of the document area. Below the search bar, a summary bar shows 'FOLDERS (39) / DOCUMENTS (15)' and a 'LAST MODIFIED' column header. The main content area lists folders and documents:

- CLIENT - Nathan James
  - Account Documents & Agreements
  - Tax Documents
  - Statements & Reports
    - EQIS Performance Reports
      - 110381\_Jul-2021\_Report.pdf (LAST MODIFIED: 8/23/2021, 5:29 PM)
    - Custodial Statements
    - Investment Plans, Policies & Proposals
- CLIENT - Brooke James
- CLIENT - Matthew James

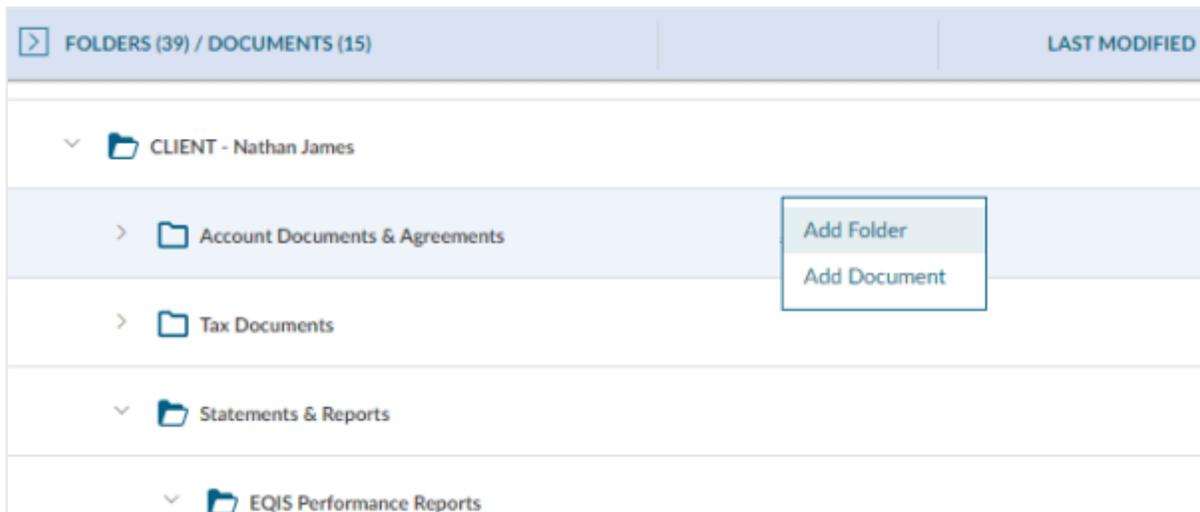
There are a few actions that can be taken within the Documents vault, each of which will be detailed below:

## Downloading a Document

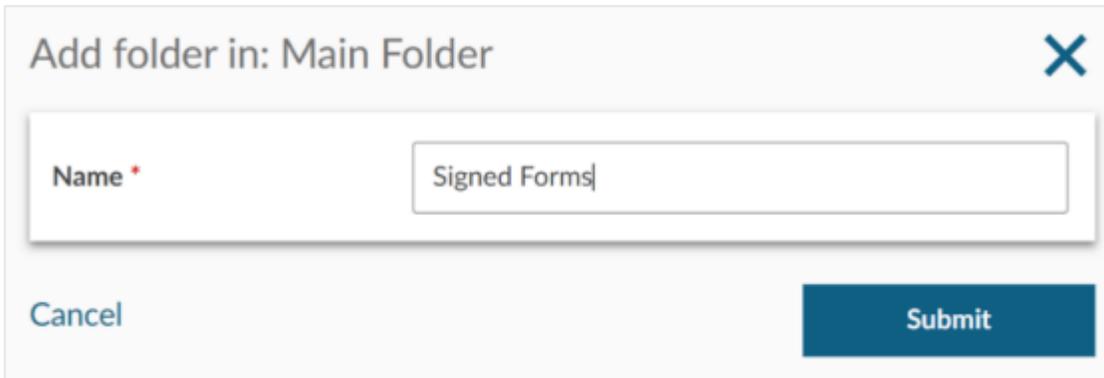
Hovering over an existing document will present a **Download** button to the far right-hand side. Simply click on this button to download the document.

## Adding a New Folder

If you would like to add your own folders within the Documents vault, select the **Add Folder** button. Folders can either be added into the main Documents vault view by selecting the Add Folder button at the top of the page, or they can be added as a sub-folder by selecting the Add Folder button that will appear when hovering over any existing folder.



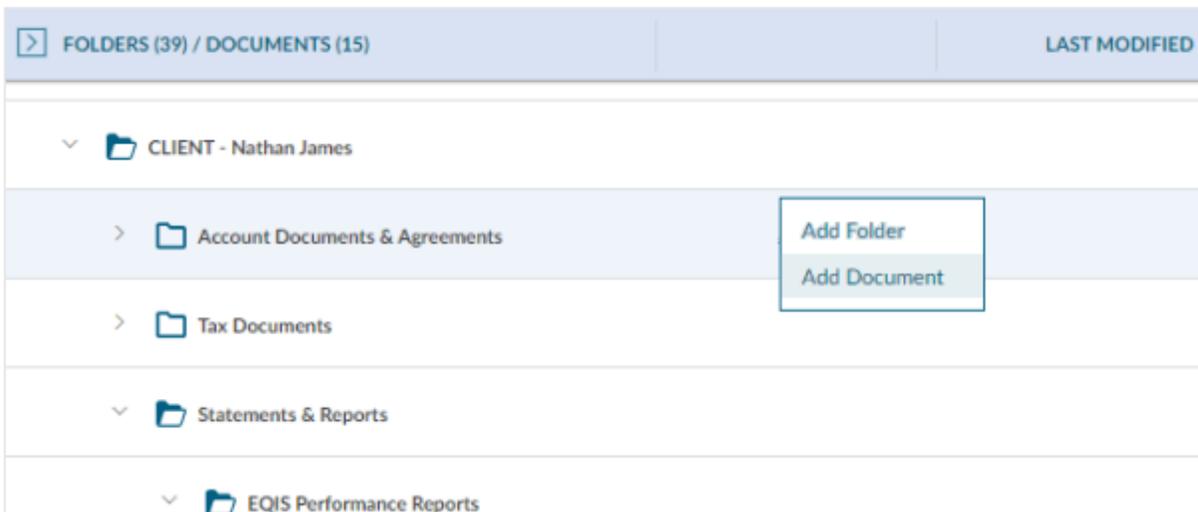
Selecting the **Add Folder** button will invoke the below popup where you will be prompted to enter a Name for the folder. You can then select **Submit** to create the folder or **Cancel** to cancel the action. Any folders that you create will be visible to your advisor as well.



The image shows a modal window titled "Add folder in: Main Folder" with a close button (X) in the top right corner. Inside the modal, there is a text input field labeled "Name \*" containing the text "Signed Forms". Below the input field, there are two buttons: "Cancel" on the left and "Submit" on the right.

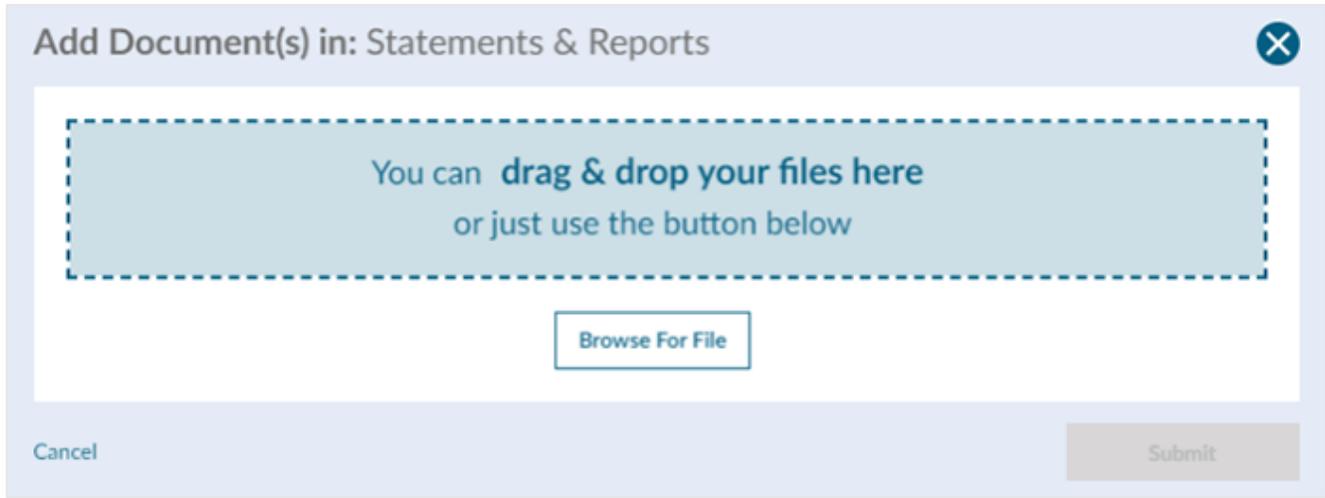
## Adding a New Document

To add your own documents, select the **Add Document** button. Documents can either be added into the main Documents vault view by selecting the Add Document button at the top of the page, or they can be added into an existing folder by selecting the "Add Document" button that will appear when hovering over the folder.



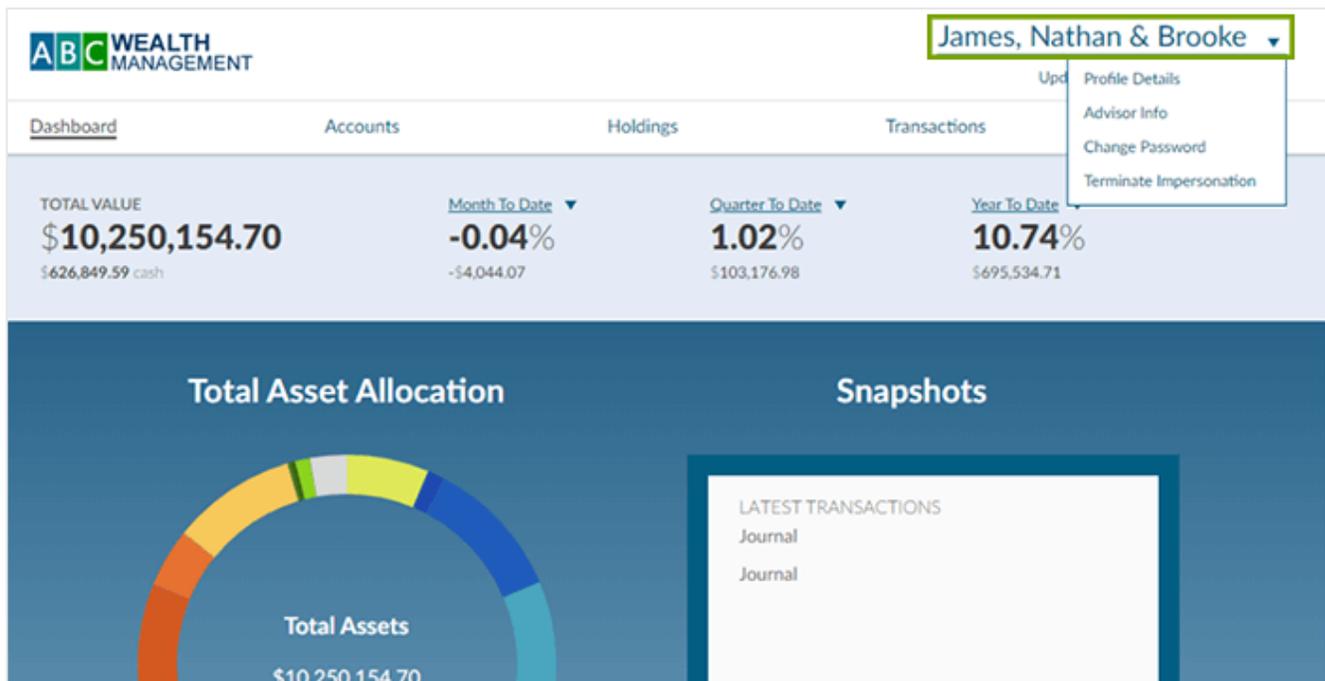
The image shows a screenshot of a client portal interface. At the top, there is a header with "FOLDERS (39) / DOCUMENTS (15)" and "LAST MODIFIED". Below this, there is a list of folders under the heading "CLIENT - Nathan James". The folders listed are "Account Documents & Agreements", "Tax Documents", "Statements & Reports", and "EQIS Performance Reports". A tooltip is visible over the "Account Documents & Agreements" folder, showing two buttons: "Add Folder" and "Add Document".

Selecting the **Add Document** option will invoke the below popup where you will be prompted to browse for a file to attach and provide a Name for the document (the Name field will automatically pre-populate with the file name that you have attached, but this can be updated in desired). You can then select **Submit** to add the document or **Cancel** to cancel the action. Any documents that you upload will be visible to your advisor as well.



## Updating Your Settings

From any section within the Client Portal, you have the option to select your Profile Name in the upper right-hand corner of the screen to present a menu of available tools. Each option within this dropdown will be detailed below:



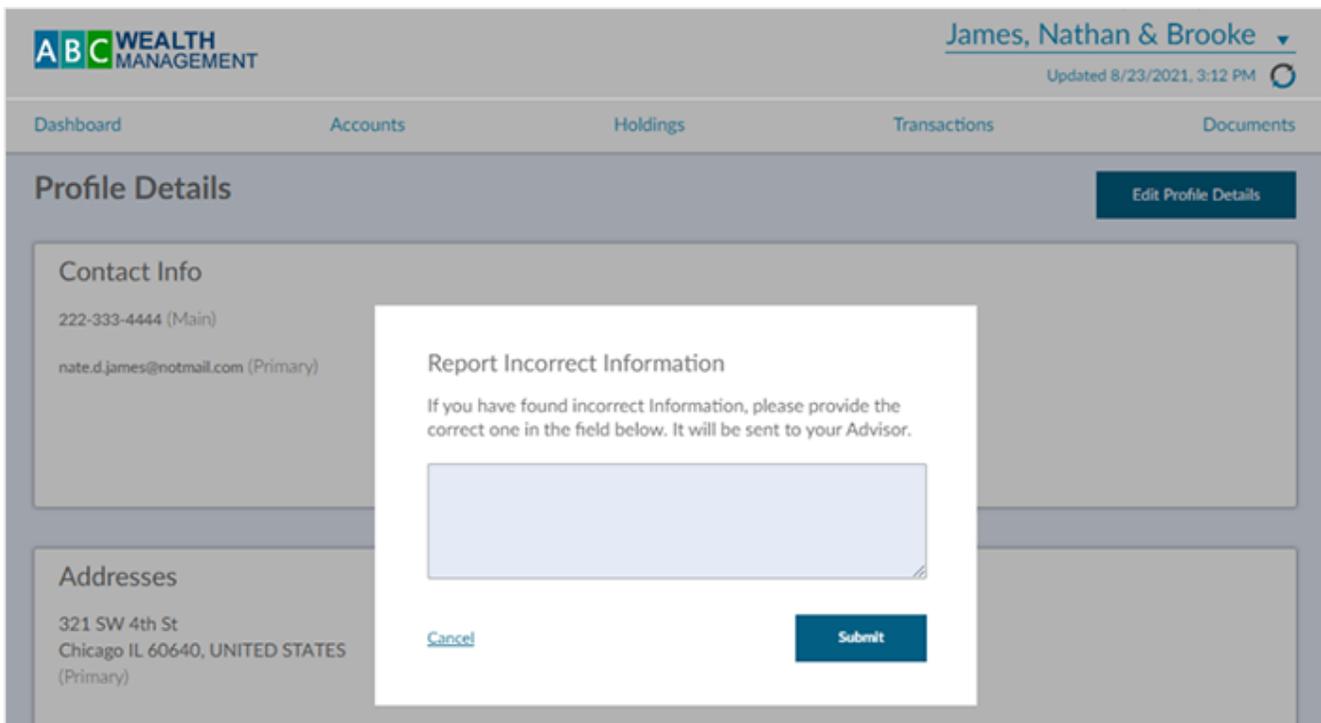
The screenshot displays the ABC WEALTH MANAGEMENT client portal dashboard. At the top right, the user profile "James, Nathan & Brooke" is highlighted with a green box, and a dropdown menu is open, listing options: "Profile Details", "Advisor Info", "Change Password", and "Terminate Impersonation". The dashboard includes navigation tabs for "Dashboard", "Accounts", "Holdings", and "Transactions". Key performance indicators are shown for "TOTAL VALUE" (\$10,250,154.70), "Month To Date" (-0.04%), "Quarter To Date" (1.02%), and "Year To Date" (10.74%). Below these are sections for "Total Asset Allocation" (a donut chart) and "Snapshots" (a table of latest transactions).

Period	Change
Month To Date	-0.04%
Quarter To Date	1.02%
Year To Date	10.74%

Category	Value
TOTAL VALUE	\$10,250,154.70
Cash	\$626,849.59
Month To Date Change	-\$4,044.07
Quarter To Date Change	\$103,176.98
Year To Date Change	\$695,534.71

## Profile Details

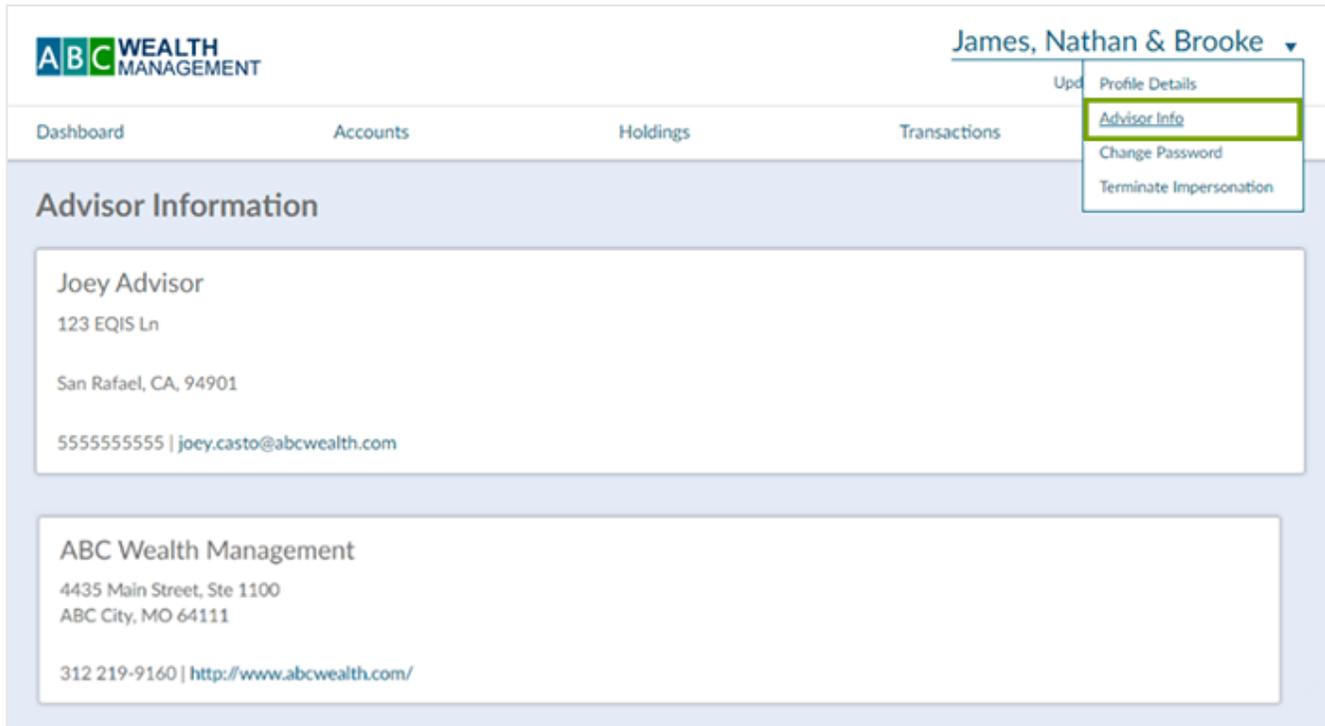
This section will allow you to view the primary contact information and address that your advisor has on file for you. If you notice anything that looks incorrect, select the **Edit Profile Details** button in the upper right-hand corner of the screen. This will invoke the below popup where you can enter the correct information and select **Submit** to send it to your advisor.



The screenshot shows the 'Profile Details' page for 'ABC WEALTH MANAGEMENT'. The user is identified as 'James, Nathan & Brooke' with a last update of '8/23/2021, 3:12 PM'. The page has navigation tabs for 'Dashboard', 'Accounts', 'Holdings', 'Transactions', and 'Documents'. The 'Profile Details' section includes 'Contact Info' (222-333-4444 (Main), nate.d.james@notmail.com (Primary)) and 'Addresses' (321 SW 4th St, Chicago IL 60640, UNITED STATES (Primary)). A dark blue 'Edit Profile Details' button is in the top right. A white popup titled 'Report Incorrect Information' is centered, containing the text: 'If you have found incorrect Information, please provide the correct one in the field below. It will be sent to your Advisor.' Below the text is a light blue text input field. At the bottom of the popup are 'Cancel' and 'Submit' buttons.

## Advisor Info

This section will allow you to view the primary contact information for your advisor and firm.



**ABC WEALTH MANAGEMENT**

James, Nathan & Brooke

Upd Profile Details  
**Advisor Info**  
Change Password  
Terminate Impersonation

Dashboard Accounts Holdings Transactions

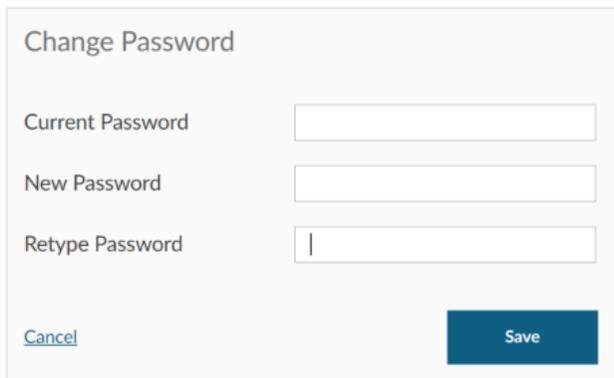
### Advisor Information

**Joey Advisor**  
123 EQIS Ln  
San Rafael, CA, 94901  
5555555555 | joey.casto@abcwealth.com

**ABC Wealth Management**  
4435 Main Street, Ste 1100  
ABC City, MO 64111  
312 219-9160 | http://www.abcwealth.com/

## Change Password

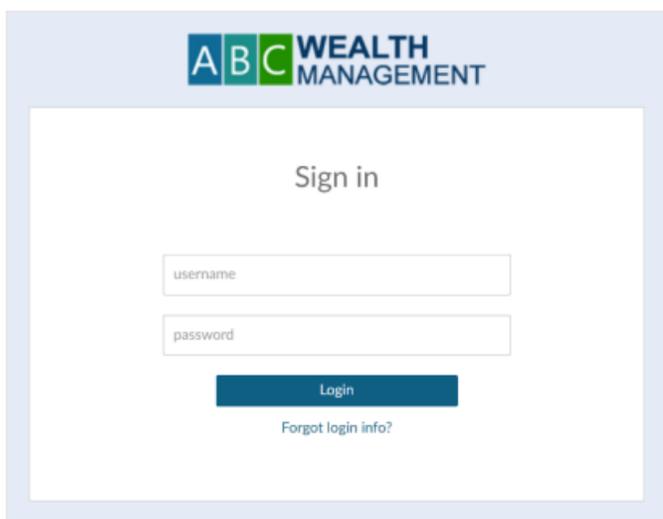
Selecting this option will invoke the below popup where you will have the ability to update your password if desired. You will be prompted to enter your current password once, followed by your new password twice, then click **Submit** to proceed with the update. Note that any new passwords must adhere to any password requirements (length, special characters, etc.) that your firm has in place.



The image shows a 'Change Password' popup form. It has a title 'Change Password' at the top left. Below the title are three input fields: 'Current Password', 'New Password', and 'Retype Password'. At the bottom left is a 'Cancel' link, and at the bottom right is a dark blue 'Save' button.

## Logout

Selecting this option will log you out of the Client Portal and redirect you back to the login screen.



The image shows the 'Sign in' screen for ABC WEALTH MANAGEMENT. At the top is the logo 'ABC WEALTH MANAGEMENT'. Below the logo is the text 'Sign in'. There are two input fields: 'username' and 'password'. Below the 'password' field is a dark blue 'Login' button. At the bottom of the form is a link that says 'Forgot login info?'.

All images used are for illustrative purposes only.