Freedom Advisors Client Mass Transition Plan

Successful veteran advisors often find themselves with client accounts spread across multiple platforms and custodians, causing practice inefficiency, expense, and poor client service. Although most advisors aspire to consolidate to one or two systems, few do because it seems a daunting task. The expert transition team at Freedom Advisors delivers exactly what an advisor needs to quickly and painlessly bring business together.

Each advisor has their own preferences for client communications, so Freedom Advisors creates for you a customized transition plan. We will take on responsibility for implementing any or all steps of the transition plan.

Mass Transition 10-Step Process

1. Create client communication plan
2. Deliver communication(s) to clients
3. Gather client profile information and account statements
4. Create client records in Freedom Advisors
5. Deliver to clients single consolidated household DocuSign package
6. Clients and advisor sign DocuSign packages
7. Open accounts and process asset transfers
8. Send clients invitation to log into client portal
9. Implement investment instructions where applicable
10. Conduct client review session upon publishing first monthly report

No matter who the advisor assigns to complete each step of the process, Freedom Advisors' team monitors and conducts quality control on each step, reviews every communication, every form, every signature, and every transfer, ensuring all is in good order and the advisor's time is leveraged.

Get Started Today

Simplify your practice by consolidating accounts onto the Freedom Advisors platform. Complete the initial information below to begin the process. Your Freedom Advisors Service Manager will set up a planning meeting.

Stage 1 Preparation Questions

Advisor Name:

Location of accounts:

Are you changing the RIA with which you are associated?

◯ Yes ◯ No

Investment Portfolio(s) description:

Any illiquid investments? ◯ Yes ◯ No

If yes, provide security names.

Any investments that cannot be transferred? ◯ Yes ◯ No

If yes, briefly explain.

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Will you be recommending portfolio changes as part of the consolidation? ◯ Yes ◯ No

If yes, briefly explain.

Can we help craft client recommendations and communications? ◯ Yes ◯ No

If yes, briefly explain.

Will you have us send clients the DocuSign packages on your behalf?

◯ Yes ◯ No

If you move forward on this mass transition, will you do it all at once or one client at a time?

◯ All at once ◯ One client at a time

What is the estimated asset value?

$

Save and email this form to support@freedomadvisors.com.